

Transport for the North Audit & Governance Committee – Item 8

Subject: Internal Audit Progress Report

Author: James Lyon

Sponsor: Iain Craven

Meeting Date: 16 July 2020

1. Purpose of the Report:

1.1 To enable RSM, as TfN's provider of internal audit services, to make its report to the Audit & Governance Committee.

2. Executive Summary:

- 2.1 An internal audit update report was provided to the Committee for the meeting in June. As the most recent round of internal audit work only commenced on 6 July a further written report is not available. However, RSM will provide a verbal update of its progress to date.
- 2.2 The full report will be available at the September meeting of Audit & Governance Committee alongside any issues raised and actions undertaken.

3. Recommendation:

3.1 That Audit & Governance Committee note the verbal update provided by RSM.

4. Appendices:

4.1 None. Full report to be presented in September Audit & Governance Meeting.



List of Background Documents:

There are no background documents.

Required Considerations

Equalities:

Age	No
Disability	No
Gender Reassignment	No
Pregnancy and Maternity	No
Race	No
Religion or Belief	No
Sex	No
Sexual Orientation	No

Consideration	Comment	Responsible Officer	Director
Equalities	A full Impact assessment has not been carried out because it is not required for this report	Julie Openshaw	Dawn Madin

Environment and Sustainability



Consideration	Comment	Responsible Officer	Director
Sustainability / Environment	A full impact assessment has not been carried out because it is not required for this report.	Julie Openshaw	Dawn Madin

<u>Legal</u>



ConsiderationCommentResponsible
OfficerDirectorLegalThe legal implications
have been considered
and are included in the
report.Julie OpenshawDawn Madin

Finance

Yes

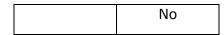
	No
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Consideration	Comment	Responsible Officer	Director
Finance	There are no financial implications.	Julie Openshaw	Dawn Madin

Resource

Consideration	Comment	Responsible Officer	Director
Resource	The resource implications have been considered and are included in the report.	Julie Openshaw	Dawn Madin

<u>Risk</u>



Consideration	Comment	Responsible Officer	Director
Risk	There are no risks associated with the content of this report.	Julie Openshaw	Dawn Madin

Consultation



Yes

No

Consideration	Comment	Responsible Officer	Director
Consultation	A consultation has not been carried out because it is not necessary for this report.	Julie Openshaw	Dawn Madin