

Transport for the North Audit & Governance Committee – Item 8

Subject: Internal Audit Progress Report

Author: James Lyon

Sponsor: Iain Craven

Meeting Date: 16 July 2020

1. Purpose of the Report:

- 1.1 To enable RSM, as TfN's provider of internal audit services, to make its report to the Audit & Governance Committee.

2. Executive Summary:

- 2.1 An internal audit update report was provided to the Committee for the meeting in June. As the most recent round of internal audit work only commenced on 6 July a further written report is not available. However, RSM will provide a verbal update of its progress to date.
- 2.2 The full report will be available at the September meeting of Audit & Governance Committee alongside any issues raised and actions undertaken.

3. Recommendation:

- 3.1 That Audit & Governance Committee note the verbal update provided by RSM.

4. Appendices:

- 4.1 None. Full report to be presented in September Audit & Governance Meeting.

List of Background Documents:

There are no background documents.

Required Considerations

Equalities:

Age		No
Disability		No
Gender Reassignment		No
Pregnancy and Maternity		No
Race		No
Religion or Belief		No
Sex		No
Sexual Orientation		No

Consideration	Comment	Responsible Officer	Director
Equalities	A full Impact assessment has not been carried out because it is not required for this report	Julie Openshaw	Dawn Madin

Environment and Sustainability

	No
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Consideration	Comment	Responsible Officer	Director
Sustainability / Environment	A full impact assessment has not been carried out because it is not required for this report.	Julie Openshaw	Dawn Madin

Legal

Yes	
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Consideration	Comment	Responsible Officer	Director
Legal	The legal implications have been considered and are included in the report.	Julie Openshaw	Dawn Madin

Finance

	No
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Consideration	Comment	Responsible Officer	Director
Finance	There are no financial implications.	Julie Openshaw	Dawn Madin

Resource

Yes	
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Consideration	Comment	Responsible Officer	Director
Resource	The resource implications have been considered and are included in the report.	Julie Openshaw	Dawn Madin

Risk

	No
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Consideration	Comment	Responsible Officer	Director
Risk	There are no risks associated with the content of this report.	Julie Openshaw	Dawn Madin

Consultation

Yes	No
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Consideration	Comment	Responsible Officer	Director
Consultation	A consultation has not been carried out because it is not necessary for this report.	Julie Openshaw	Dawn Madin