

Transport for the North Board Meeting – Item 8

Subject: Transport for the North Board Membership, Co-options, Appointments of Vice Chairs and Appointments to the Audit and Governance Committee

Author: Sasha Wayne, Head of Legal

Sponsor: Dawn Madin, HR and Mobilisation Director

Meeting Date: Thursday 28 June 2018

1. Executive Summary:

1.1 Following the inaugural meeting of the Transport for the North Board on 5 April 2018 ("**Inaugural Meeting**") the following Constitutional items of business set out at paragraph 1.3 below are now brought to Transport for the North Board for recommendation.

1.2 Constitutional items of business are as follows:

- a) Change of Constituent Authority Transport for the North Board Membership for Hull City Council
- b) Change of Constituent Authority Transport for the North Board Membership for Sheffield City Region
- c) Change of Constituent Authority Transport for the North Board Membership for North East Lincolnshire
- d) Additional LEP Co-options to the Transport for the North Board for Lancashire LEP
- e) Additional Statutory Co-option of the Substitute Member of the Derbyshire Rail North Authority to the Transport for the North Board
- f) Appointment of Transport for the North Vice Chairs
- g) Appointment and co-option of the Transport for the North Audit and Governance Committee and associated amendments to the Transport for the North Constitution
- h) Noting of the Transport for the North Scrutiny Committee members
- J) Appointment of the Rail North Committee Members
- h) Approval of the Schedule of Meetings

2. Recommendation:

2.1 It is recommended that Transport for the North Board note the change in Transport for the North Board Member representation and Substitute

Member representation for the Constituent Authority of Hull City Council as detailed at paragraph 3.1 of this Report.

- 2.2 It is recommended that Transport for the North Board note the change in Transport for the North Board Member representation for the Constituent Authority of Sheffield City Region as detailed at paragraph 3.1 of this Report.
- 2.3 It is recommended that Transport for the North Board note the change in Transport for the North Board Member representation for the Constituent Authority of North East Lincolnshire as detailed at paragraph 3.1 of this Report.
- 2.4 It is recommended that Transport for the North Board agree the co-option of Edwin Booth (Chair of the Lancashire LEP) as a Member of Transport for the North; and the co-option of Graham Cowley, (a fellow LEP Board Director) as a Substitute Member and that both these co-options are non-voting as detailed at paragraph 3.2 of this Report.
- 2.5 It is recommended that Transport for the North Board notes the appointment of Cllr George Wharmby as the Statutory Co-optee Substitute Member for Derbyshire County Council as detailed at paragraph 3.3 of this Report.
- 2.6 It is recommended that Transport for the North Board confirm that there are no further nominations for the role of Transport for the North vice-chairs and to vote on the appointment of two vice-chairs (including one position from the minority party) as detailed at paragraph 3.4 below.
- 2.7 In accordance with paragraph 3.5 of this Report, it is recommended that Transport for the North Board appoint and co-opt as Independent Members of the Audit and Governance Committee:
 - a) Chris Melling
 - b) Kevin Brady
 - c) David Pevalin

It is also recommended that Transport for the North Board consider the eligibility of co-opted Members for the Transport for the North Audit and Governance Committee and the provisions for quorum of the Committee set out in the Transport for the North Constitution and then appoint the following as Transport for the North Members of the Audit and Governance Committee:

- d) Cllr Simon Blackburn – Blackpool - TBC
- e) Cllr Brewis – Lincolnshire
- f) Martin McKervey – Sheffield City Region LEP Co-optee
- g) Nominations to be received

- 2.7 It is recommended that the Transport for the North Board note the Members and Substitute Members of the Transport for the North Scrutiny Committee nominated by the 19 Constituent Authorities as detailed at paragraph 3.6 of this Report.
- 2.8 It is recommended that the Transport for the North Board appoint the Members and Substitute Members of the Rail North Committee nominated by the Regional Groups as detailed in paragraph 3.7 Of this report.
- 2.9 It is recommended that the Transport for the North Board approve the Schedule of Meetings for the remainder of the year.

3. Issues:

3.1 Change of Constituent Authority Membership:

In accordance with the Transport for the North Regulations, each Constituent Authority is required to appoint either the elected mayor, leader or elected member with responsibility for transport to be a voting member of Transport for the North. Furthermore, each Constituent Authority shall also appoint another of its elected members to be a voting member of Transport for the North in the absence of the Member appointed, referred to as the Substitute Member.

The Constituent Authority Member and Substitute Members appointments were noted by the Transport for the North Board at the Inaugural Meeting.

Following the local elections for Hull City Council in May this year, and the retirement of Cllr Martin Mancey, Cllr Darren Hale has been appointed by Hull City Council as the replacement Transport for the North Board Member.

Dan Jarvis has been elected as the Mayor of the Sheffield City Region and has been appointed as the Sheffield City Region Transport for the North Member.

Cllr Matthew Patrick has been appointed as the Member for North East Lincolnshire Council.

It is recommended that Transport for the North Board note the changes to the Transport for the North Membership for Hull City Council, the Sheffield City Region and North- East Lincolnshire.

3.2 **Additional Co-options to the Transport for the North Members Board:**

The Transport for the North Regulations state the voting members of Transport for the North Board may appoint further co-opted members if they all agree to do so. At the Inaugural Meeting representatives from the 11 Local Enterprise Partnership ("**LEPs**") and the statutory agencies, HS2, Network Rail and Highways England were co-opted to the Transport for the North Board as non-voting Members.

Prior to the Inaugural Meeting, Cumbria and Lancashire were represented by a single Member from Cumbria. Following the Inaugural Meeting, Transport for the North has received a request that the Lancashire LEP is represented individually, in line with all the other LEPs in the Transport for the North Area (as defined in the Constitution).

In accordance with the Transport for the North Regulations, all 19 voting Members of the Transport for the North Board must vote in agreement of the co-option of Lancashire LEP representatives for this to be carried.

It is recommended that Transport for the North Board agree to the co-option of Edwin Booth (Chair of the Lancashire LEP) as a Member of Transport for the North; and the co-option of Graham Cowley, (a fellow LEP Board Director) as a Substitute Member and that both these co-options are non-voting.

3.3 **Additional Statutory Co-option of the Rail North Authorities to the Transport for the North Members Board:**

The Transport for the North Regulations state that each Rail North Authority is entitled to appoint one of its elected members to be a co-opted Member of the Transport for the North Board (referred to collectively as the "**Statutory Co-optees**") and one Substitute Member. At the Inaugural Meeting, the Statutory Co-optees were noted by the Transport for the North Board.

Following the Inaugural Meeting, Derbyshire County Council has appointed Cllr George Wharmby as the Statutory Co-optee Substitute Member.

It is recommended the Transport for the North Board notes the appointment of Cllr George Wharmby as the Substitute Member for Derbyshire County Council.

3.4 **Appointment of Vice Chairs for the Transport for the North Board**

The Transport for the North Regulations maintain that one or more vice-chairs may be appointed to the Transport for the North Board. The vice-chairs can only be selected from Transport for the North Members, including the co-opted members.

At the inaugural meeting, Transport for the North Members agreed the vice-chairs should be represented by the two leading political parties and that the appointment would be deferred to the Transport for the North Board meeting on 28th June.

Since the inaugural meetings, Transport for the North has received the following nominations for the vice-chair position:

- a) Mayor Steve Rotherham of Liverpool City Region (Labour nominee);
- b) Cllr Samantha Dixon of Cheshire West and Chester (Labour nominee);
- c) Cllr Robert Waltham of North Lincolnshire Council (Conservative nominee); and
- d) Cllr Ian Gillies of the City of York (Conservative nominee)

The Transport for the North Board is required to confirm that there are no further nominations and to vote on the appointment of two vice-chairs (including one position from the minority party).

3.5 **Appointment of the Transport for the North Audit and Governance Committee**

Transport for the North Members have agreed to establish an Audit and Governance Committee to assist Transport for the North Members with maintaining the integrity of Transport for the North's financial reporting mechanisms, including the effectiveness of the internal control and risk management systems.

The Transport for the North Constitution provides for 4 Transport for the North Board Members. In addition, 3 other independents shall be co-opted to the Audit and Governance Committee by the Transport for the North Board. The Transport for the North shadow board held in February 2018, recommended that Transport for the North should invite suitably qualified individuals to be independent members of the Audit and Governance Committee.

A recruitment exercise has been completed and the following individuals are recommended for appointment and co-option as Independent Members of the Audit and Governance Committee:

- a) Chris Melling
- b) Kevin Brady
- c) David Pevalin

A biography for each of the Independent Members of the Audit and Governance Committee are attached as Appendix 1.

Co-option of the Independent Members as Members of the Audit and Governance Committee will require the consent of all the Members representing the Constituent Authorities.

When the Constitution was written it was on the basis the Membership of Transport for the North would be the representatives of the 19 Constituent Authorities and the Statutory co-optees from the Rail North Authorities. The provisions for quorum of the Audit and Governance Committee were drafted on this basis and require 2 representatives of the Constituent Authorities to be present for the Committee to be quorate.

At the Inaugural Meeting, Transport for the North Board agreed to co-opt the representatives of the LEPs to the Transport for the North Board. Consequently, the composition of the Transport for the North Board has changed. Transport for the North should now consider whether membership of the Transport for the North Audit and Governance Committee should be extended to all co-opted Members and if so, whether the Constitution should be amended to clarify the position and to amend the provisions relating to quorum to include any co-opted Member of the Transport for the North Board.

The following Transport for the North Board Members have been nominated as Transport for the North members of the Audit and Governance Committee:

- d) Cllr Simon Blackburn – Blackpool
- e) Cllr Brewis – Lincolnshire
- f) Martin McKervey – Sheffield City Region LEP Co-optee
- g) Nominations to be received

The Constitution provides for all members of the Audit and Governance Committee to have ordinary voting rights of one member one vote on this Committee.

It is recommended that:

- h) Transport for the North Board appoint and co-opt the Independent Members of the Audit and Governance Committee
- i) Transport for the North Board approve an amendment to the Constitution to enable all co-opted Transport for the North Members to serve on the Transport for the North Audit and Governance Committee and to be included when the quorum of the Committee meeting is being considered
- j) Transport for the North Board appoint the nominated Members as Transport for the North Audit and Governance Committee members

3.6 Noting of the Transport for the North Scrutiny Committee

The Regulations provide for all the Constituent Authorities to nominate a Member and Substitute Member to serve on the Scrutiny Committee.

The nominations have been received and a shadow Scrutiny Committee meeting took place on the 14th June 2018 to enable it to consider the Strategic Transport Plan in accordance with Transport for the North's "*scrutiny first*" approach. The nominated Transport for the North Scrutiny Committee Members are listed in Appendix 2 to this Report.

It is recommended that Transport for the North note the nominated Members of the Scrutiny Committee.

3.7 Appointment of the Rail North Committee

Nominations have now been received from the 11 Regional Groups for the Rail North Committee as follows:

| Authorities | Member | Substitute Member |
|---|---------------------------|---------------------------|
| Greater Manchester CA | Mayor Andy Burnham | Nomination to be received |
| West Yorkshire CA and the City of York | Nomination to be received | Nomination to be received |
| Sheffield City Region | Mayor Dan Jarvis | Nomination to be received |
| Liverpool City Region | Cllr Liam Robinson | Nomination to be received |
| North East CA | Cllr Nick Forbes | Cllr Carl Marshall |
| Blackburn with Darwen Borough Council, Blackpool Borough Council Cumbria County Council and Lancashire County Council | Nomination to be received | Nomination to be received |
| Tees Valley CA | Mayor Ben Houchen | Cllr Bill Dixon |
| North Yorkshire County Council | Nomination to be received | Nomination to be received |

| | | |
|---|---------------------------|---------------------------|
| East Riding of Yorkshire Council | Cllr Carl Les | Nomination to be received |
| Hull City Council, North East Lincolnshire Council and North Lincolnshire Council | Nomination to be received | Nomination to be received |
| Cheshire East Council, Cheshire West and Chester Council, Staffordshire County Council, Stoke City Council and Warrington Borough Council | Nomination to be received | Nomination to be received |
| Derbyshire County Council, Lincolnshire County Council, Nottinghamshire County Council, Nottingham City Council | Nomination to be received | Nomination to be received |

It is recommended that the Board appoint the Members and Substitute Members nominated by the Regional Groups.

3.8 **Approval of the Schedule of Meetings**

A schedule of meetings has been drawn up for meetings to be held during this Board year and is attached as Appendix 3 to this report.

It is recommended that the Board approve the schedule of meetings.

4. Options Considered:

- 4.1 Not applicable as there are no options to be considered.

5. Considerations:

- 5.1 Not applicable as there are no considerations.

6. Preferred Option:

- 6.1 Not applicable as there is no preferred option.

Appendices:

Biography of the Independent Audit and Governance Committee members.
List of nominations for the Transport for the North Scrutiny Committee.
Approval of Schedule of Meetings.

List of Background Documents

There are no background documents

Required Considerations

Please confirm using the yes/no options whether or not the following considerations are of relevance to this report.

Equalities:

| | | | |
|-------------------------|---|---------------------|------------|
| Age | | No | |
| Disability | | No | |
| Gender Reassignment | | No | |
| Pregnancy and Maternity | | No | |
| Race | | No | |
| Religion or Belief | | No | |
| Sex | | No | |
| Sexual Orientation | | No | |
| Consideration | Comment | Responsible Officer | Director |
| Equalities | A full Impact assessment has not been carried out because it is not required for this report. | Sasha Wayne | Dawn Madin |

Environment and Sustainability

| | |
|--|----|
| | No |
|--|----|

| Consideration | Comment | Responsible Officer | Director |
|--------------------------------|---|---------------------|------------|
| Sustainability/ Environment | A full Impact assessment has not been carried out because it is not required for this report. | Sasha Wayne | Dawn Madin |

[Legal](#)

| | |
|-----|--|
| Yes | |
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| Consideration | Comment | Responsible Officer | Director |
|---------------|---|---------------------|------------|
| Legal | The legal implications have been considered and are included in the report. | Sasha Wayne | Dawn Madin |

[Finance](#)

| | |
|--|----|
| | No |
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| Consideration | Comment | Responsible Officer | Director |
|---------------|---|---------------------|------------|
| Finance | Transport for the North Finance Team has confirmed there are no new financial implications. | Sasha Wayne | Dawn Madin |

[Resource](#)

| | |
|--|----|
| | No |
|--|----|

| Consideration | Comment | Responsible Officer | Director |
|---------------|--|---------------------|------------|
| Resource | There are no new resource implications in relation to this report. | Sasha Wayne | Dawn Madin |

[Risk](#)

| | |
|--|----|
| | No |
|--|----|

| Consideration | Comment | Responsible Officer | Director |
|---------------|--|---------------------|------------|
| Risk | There are no new risks associated with the content of this report. | Sasha Wayne | Dawn Madin |

[Consultation](#)

| | |
|--|----|
| | No |
|--|----|

| Consideration | Comment | Responsible Officer | Director |
|---------------|--|---------------------|------------|
| Consultation | A consultation has not been carried out because it is not necessary for this report. | Sasha Wayne | Dawn Madin |

APPENDIX 1

Biography of the Independent Audit and Governance Committee Members:

Independent Members of the Audit & Governance Committee

Personal Profiles

| Name | Current / Most Recent Position | Short Biography | Education |
|-------------|--|---|--|
| Kevin Brady | Owner/Founder KSB Management Services Limited (2012 to Present) | <p>Kevin is an experienced strategic leader and operational manager with a successful track record of delivery through a range of senior roles across local, regional and central government.</p> <p>Kevin is currently the owner and founder of a management consultancy company (2012 to present) offering a range of services including service transformation, governance reviews, stakeholder management and public-sector advice and guidance.</p> <p>Prior to this Kevin worked for Salford City Council as Deputy Chief Executive (2010 – 2012) and Assistant Chief Executive (2006 – 2010) managing the day to day operational management of the Council providing strategic overview of efficiency, budget, organisational development and service improvement.</p> | <ul style="list-style-type: none"> • Post Graduate Diploma in Management, Manchester Metropolitan University • Master of Science Degree (research thesis), University of Salford • Post Graduate Certificate of Education, Bolton Institute of Higher Education • BA Hons Degree 2:1 Politics and History of Industrial Society, University of Salford |

| | | | |
|----------------------|---|---|--|
| | | Kevin has sat on several company boards and partnerships across Greater Manchester including the University of Salford Council. | |
| David Pevalin | Honorary Professorship University of Essex (1999 to Present) | <p>David is an experienced strategic leader and manager, researcher, and data analyst educated to doctoral level.</p> <p>Prior to January 2017, David held a number of academic and leadership roles at the University of Essex where he has worked since 1999. This has included executive leadership of a faculty of five departments, £37 million budget, 370 staff, and over 3,000 students.</p> <p>As Postgraduate Dean at the University of Essex David was one of two appointed academic members on the University Council for two years - equivalent of a company Board of Directors. He also served on the Risk Management Committee at various points during career at Essex.</p> <p>David remains an Honorary Professorship at the University of Essex and has an on-going project for the 2021 Census.</p> <p>David has also previously been a member and chair of an NHS Local Research Ethics Committee and along with previous police work in his earlier life is well versed in handling confidential and secure information.</p> | <ul style="list-style-type: none"> • PhD Applied Social and Economic, University of Essex • MA Sociology, University of Calgary • MA Public Order, University of Leicester • DipHE Criminal Justice, University of Hong Kong |
| Chris Melling | Transformation Partner/ Director NHS England | A skilled and experienced Board Member with a wealth of skills and expertise across the private and public sectors. | <ul style="list-style-type: none"> • BA Economics, Liverpool University • MA HR Management, Bolton |

| | | | |
|--|------------------------------|--|--|
| | <p>(2016 to 2018)</p> | <p>Chris has held a variety of Board level roles across the public and private sector, Chris's most recent role was as a Transformation Partner/Director for NHS England, a role he held from 2016 until earlier this year.</p> <p>Chris was Associate Chief Financial Officer at NHS Wigan CCG, where he worked from 2008 to 2016, having previously been the Head of Finance at the Co-operative Society from 2001 to 2008.</p> <p>Chris's has also previously acted as a Board member for both National Continuing Health Strategic Improvement Board and Wigan Leaders Executive Board, Wigan Life Centre.</p> | <ul style="list-style-type: none"> • Associate of the Chartered Institute of Management Accountants |
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APPENDIX 2

Transport for the North Scrutiny Committee Members:

| Authority | Scrutiny member | Scrutiny Substitute |
|----------------------------|----------------------|-------------------------------|
| Blackburn with Darwen | Cllr Jim Shorrock | Cllr Jim Casey |
| Blackpool | Cllr Martin Mitchell | Cllr David O'Hara |
| Cheshire East | Cllr Don Stockton | Cllr Harold Davenport |
| Cheshire West & Chester | Cllr Ben Powell | Cllr Richard Beacham |
| Cumbria | Cllr Ian Stewart | Cllr David Southward |
| East Riding of Yorkshire | Cllr Andy Burton | Cllr John Barrett |
| Greater Manchester | TBC | TBC |
| Hull City Council | Cllr Sean Chaytor | Cllr Haroldo Herrera-Richmond |
| Lancashire | CC Keith Iddon | CC Andrew Snowden |
| Liverpool City Region | Cllr Gordon Friel | Cllr Nathalie Nicholas |
| North East | Cllr Bruce Pickard | Cllr Michael Mordey |
| North East Lincolnshire | Cllr Philip Jackson | Cllr John Fenty |
| North Lincolnshire Council | TBC | Cllr John Davison |
| North Yorkshire | Cllr Andy Paraskos | TBC |
| Sheffield City Region | Cllr Roy Miller | Cllr Denise Lelliott |
| Tees Valley | Cllr Nick Wallis | TBC |
| Warrington | Cllr Cathy Mitchell | TBC |
| West Yorkshire | Cllr Eric Firth | Cllr Barry Collins |
| York | Cllr Ann Reid | TBC |