

Transport for the North Audit and Governance Committee - Item 11

Subject: NPR Governance

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Sponsor: Iain Craven, Finance Director

Meeting Date: Friday 28 February 2020

1. Purpose of the Report:

- 1.1 At its meeting in September, the Audit and Governance Committee asked for a review of Northern Powerhouse Rail (NPR) governance to be carried out.
- 1.2 RSM, Transport for the North's internal audit provider, was engaged to carry out a review of NPR Programme Governance. This review involved interviews with colleagues from the NPR Programme team and corporate functions within Transport for the North, as well as the Department for Transport team responsible for NPR.
- 1.3 Concurrent with this activity, Transport for the North and the Department for Transport had already identified that the NPR governance arrangements were out of date and needed to be updated and work to do so was underway.
- 1.4 The appendices to this report include the NPR Governance Internal Audit Review (Item 12a) and the Draft MOU that has been developed with the Department for Transport (Item 12b).

2. Executive Summary:

- 2.1 NPR Governance Internal Audit Review identifies a number of recommendations that TfN has agreed and for which implementation plans are in place. These are set out in the Review.
- 2.2 A key recommendation of the Review is that an updated Memorandum of Understanding is adopted by TfN and the department in relation to NPR Governance. This Draft NPR Memorandum of Understanding is attached as Item 12b.

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- 3.3 Subject to comments from the Audit & Governance Committee, the Draft NPR Memorandum of Understanding will be further amended / agreed with the Department for Transport before being provided to the Transport for the North Board.

3. Recommendation:

- 3.1 That Audit & Governance Committee note and comment on the Internal Audit Review and the associated action plan.
- 3.2 That Audit & Governance Committee note and comment upon the Draft NPR Memorandum of Understanding.

4. Appendices:

- 4.1 Item 11a - NPR Governance Internal Audit Review
Item 11b - Draft NPR Memorandum of Understanding
Item 11c - Appendix A - the TfN DfT MOU

Required Considerations

Equalities:

Age	Yes	No
Disability	Yes	No
Gender Reassignment	Yes	No
Pregnancy and Maternity	Yes	No
Race	Yes	No
Religion or Belief	Yes	No
Sex	Yes	No
Sexual Orientation	Yes	No

Consideration	Comment	Responsible Officer	Director
Equalities	A full impact assessment has not been carried out because it is not required for this report.		Iain Craven

Environment and Sustainability

Yes	No
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Consideration	Comment	Responsible Officer	Director
Sustainability / Environment – including considerations regarding Active Travel and Wellbeing	A full impact assessment has not been carried out because it is not required for this report.		Iain Craven

Legal

Yes	No
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Consideration	Comment	Responsible Officer	Director
Legal	There are financial risk implications and these have been considered in the formulation of the report.	Debbie Dimmock	Julie Openshaw

Finance

Yes	No
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Consideration	Comment	Responsible Officer	Director
Finance	There are financial risk implications and these have been considered in the formulation of the report.	Gareth Sutton	Iain Craven

Resource

Yes	No
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Consideration	Comment	Responsible Officer	Director
Resource	There are no HR risk implications within the in the report.	Stephen Hipwell	Dawn Madin

Risk

Yes	No
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Consideration	Comment	Responsible Officer	Director
Risk	The implications for corporate risk have been included in this report.	Haddy Njie	Iain Craven

Consultation

Yes	No
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Consideration	Comment	Responsible Officer	Director
Consultation	A consultation has not been carried out because it is not required for this report.		Iain Craven