

# Transport for the North – Audit and Governance Committee Agenda

**Meeting:** Transport for the North Audit and Governance Committee

**Date:** Friday 20 September 2019, 11:00am - 1:00pm

**Venue:** Meeting Room 9, 1<sup>st</sup> Floor, Manchester Meeting Place, Sackville Street, Manchester, M1 3BB

## Attendees:

Chris Melling (Chair)  
Cllr Keith Little (Vice-Chair)  
Cllr Simon Blackburn  
David Pevalin  
Cllr Chris Brewis  
Cllr Liam Robinson  
Cllr Mark Winnington

Independent Member  
Cumbria County Council  
Blackpool Council  
Independent Member  
Lincolnshire County Council  
Liverpool City Region  
Staffordshire County Council

## Invitees:

Chris Whittingham  
Karen Murray  
Alex Hire  
Margaret Jackson  
Louise Maqsood  
Dominique Dawodu

External Audit, Mazars  
External Audit, Mazars  
Internal Audit, RSM, UK  
Observer, Department for Transport  
Observer, Department for Transport  
Sponsor Team, Department for Transport

## Officers:

Gary Rich  
Iain Craven  
Gareth Sutton  
Julie Openshaw  
Haddy Njie  
Debbie Dimock

Democratic Services Officer  
Finance Director  
Financial Controller  
Head of Legal  
Risk Manager  
Solicitor

## Apologies:

Kevin Brady

Independent Member

Nr	Agenda Item	Sponsor
<b>1.0</b>	<b>Welcome and Apologies</b>  The Chairman to welcome members to the meeting.	Chair
<b>2.0</b>	<b>Declarations of Interest (V)</b>  Members are required to declare any personal, prejudicial or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.	Chair
<b>3.0</b>	<b>Minutes from the Previous Committee (R)</b>  To consider the minutes from the meeting held on 10 July 2019 and, if thought fit, to approve as a correct record.	Chair
<b>4.0</b>	<b>Annual Audit Letter (R)</b>  To note the Mazars audit report for the financial year ending 31 March 2019.	GS
<b>5.0</b>	<b>Internal Audit Progress Report (R)</b>  To note the report from RSM Risk Assurance Services.	AH
<b>6.0</b>	<b>External Audit Progress Report (R)</b>  To note the report from Mazars.	CW
<b>7.0</b>	<b>Risk Management Strategy (R)</b>  To seek the views and ratification of the Committee on Transport for the North's updated Risk Management Strategy.	HN
<b>8.0</b>	<b>Next Committee Date (V)</b>  To finalise the date of next Committee meeting.	Chair
<b>9.0</b>	<b>Exclusion of the Press and Public (V)</b>  To resolve that the public be excluded from the meeting during consideration of the following items of business because it is likely that, in view of the nature of the business to be transacted or the nature of proceedings, there will be disclosure of confidential information as defined in s100A(2) of the Local Government Act 1972 (as amended) and exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972 (as amended).	Chair



<b>10.0</b>	<b>IST Update (R / V)</b>  To decide on the future direction of Phase 3 of the IST programme.	IC
<b>11.0</b>	<b>Risk Review (R / P)</b>  To highlight the significant risks associated with Transport for the North's ongoing transformational programmes.	HN
<b>12.0</b>	<b>Any Other Business</b>	All

R = Report; P = Presentation; V = Verbal