

Transport for the North – Audit and Governance Committee Agenda

Meeting: Transport for the North Audit and Governance Committee
Date: Thursday 13 June 2019, 11:00am - 13:00pm
Venue: Conference Room, Sheffield Town Hall, Pinstone Street, Sheffield S1 2HH

Attendees:

Chris Melling (Chair)
 Cllr Keith Little (Vice-Chair)
 Cllr Simon Blackburn
 Kevin Brady
 David Pevalin
 Cllr Chris Brewis
 Cllr Liam Robinson
 Cllr Mark Winnington

Independent Member
 Cumbria County Council
 Blackpool Council
 Independent Member
 Independent Member
 Lincolnshire County Council
 Liverpool City Region
 Staffordshire County Council

Invitees:

Chris Whittingham
 Karen Murray
 Lisa Randall
 Sarah Massel
 Louise Maqsood

External Audit, Mazars
 External Audit, Mazars
 Internal Audit, RSM, UK
 Internal Audit, RSM, UK
 Observer, Department for Transport

Officers:

Iain Craven
 Gareth Sutton
 Graham Bramwell
 Haddy Njie
 Debbie Dimock
 Oyetona Raheem

Finance Director
 Financial Controller
 Procurement Manager
 Risk Manager
 Legal Officer
 Democratic Services Officer (Minutes)

Nr	Agenda Item	Sponsor
1.0	Welcome and Apologies	Chair
	The Chairman to welcome members to the meeting.	

2.0	Declarations of Interest (V)	Chair
	Members are required to declare any personal, prejudicial or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.	
3.0	Minutes from the Previous Committee (R)	Chair
	To consider the minutes from the meeting held on 01 March 2019 and if thought fit, to approve as a correct record.	
4.0	Actions Log from the Previous Meeting (R)	Chair
	To note the progress on outstanding items.	
5.0	Year End Statutory Accounts	GS
	To note the progress made on the production of the Annual Statement of Accounts and external audit process.	
6.0	Corporate Governance Review - Annual Governance Statement	DD
	To consider the report on governance review against the Code of Corporate Governance and good governance framework and to note the recommendations. To consider the draft Annual Governance Statement and to recommend to the Board for approval.	
7.0	Review of the Constitution (R)	DD
	To review updates to the constitution and provide the Committee's views to the TfN Board.	
8.0	Internal Audit – Progress Reports (R)	LR/SM
	To consider the following reports from Internal Audit: 2019/20: 8.1 - Risk Management – Risk Register Deep Dive 8.2 - Framework for Corporate Governance – Strategic Development Corridors Programme	
	2018/19: 8.3 - IT Audit – Cyber Security 8.4 - Key Controls Core Financial Controls – Payroll 8.5 - Annual Summary 2018/19	

9.0	FPR Internal Audit Action Log	GB/IC
	To consider the report on Internal Audit Actions and to note the progresses that have been made to comply with audit recommendations.	
10.0	External Audit – Progress Report (R)	KM/CW
	To consider and note the reports from External Audit	
11.0	Next Committee Date	Chair
	To note that the next Committee will take place on Wednesday 10th July 2019 from 11am-1pm at Leeds	
12.0	Exclusion of Press and Public (V)	Chair
	To resolve that the public be excluded from the meeting during consideration of the following items of business because it is likely that, in view of the nature of the business to be transacted or the nature of the proceedings, there will be disclosure of confidential information as defined in s100A(2) of the Local Government Act 1972 (as amended) and exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972 (as amended).	
13.0	Corporate Risk Update (P)	HN
	To consider and note presentation from the Risk Manager on TfN Programmes and Associated Key Risks	

R = Report; P = Presentation; V = Verbal