

Transport for the North – Audit & Governance Committee Agenda

Meeting: Transport for the North Audit & Governance Committee

Date: Friday 28 February 2020, 11:00am - 2:00pm

Venue: Meeting Room 9, 1st Floor, Manchester Meeting Place, Sackville Street Campus, University of Manchester, M1 3BB

Attendees:

Chris Melling (Chair)	Independent Member
Cllr Keith Little (Vice-Chair)	Cumbria County Council
Cllr Fred Jackson	Blackpool Council (deputy member)
Kevin Brady	Independent Member
David Pevalin	Independent Member
Cllr Chris Brewis	Lincolnshire County Council

Invitees:

Gary Bogan	Director, Rail North Partnership – Item 6
Chris Whittingham	External Audit, Mazars
Karen Murray	External Audit, Mazars
Alex Hire	Internal Audit, RSM UK
David Morris	Internal Audit, RSM UK
Margaret Jackson	Observer, Department for Transport
Megan Bradley	Observer, Department for Transport

Officers:

Iain Craven	Finance Director
Gareth Sutton	Financial Controller
Julie Openshaw	Head of Legal
James Lyon	Legal Department
Haddy Njie	Risk Manager

Apologies:

Cllr Liam Robinson	Liverpool City Region
Cllr Mark Winnington	Staffordshire County Council

Nr	Agenda Item	Sponsor
1.0	Welcome and Apologies The Chairman to welcome members to the meeting.	Chair
2.0	Declarations of Interest (V) Members are required to declare any personal, prejudicial or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.	Chair
3.0	Minutes from the Previous Committee (R) To consider the minutes from the meeting held on 22 November 2019 and, if thought fit, to approve as a correct record.	Chair
4.0	Monthly Operating Report (January) (R) To note the Transport for the North Monthly Operating Report.	IC
5.0	Internal Audit Progress Report, inc. TMS Audit Report (R) To note the report from RSM Risk Assurance Services.	RSM
6.0	Draft Internal Audit Plan for 2020/21 (R) To consider and agreed the report from RSM Risk Assurance Services.	RSM
7.0	External Audit Progress Report (R) To note the report from Mazars, inc. reversal of P3 capitalisations, timelines, and reengagement of EY/CIPFA	Mazars
8.0	Treasury Management Strategy 2020/21 (R) To consider and agreed the report.	GS
9.0	Accounting Policies 2019/20 (R) To consider and agreed the report.	GS
10.0	Annual Governance Statement Review 2 (R) Updated Annual Governance Statement inc. <ul style="list-style-type: none"> - how we discharge good governance - changes since last meeting 	JO

	<ul style="list-style-type: none"> - progress on the 2019/20 issues - key challenges for 2020/21 	
11.0	NPR Governance <ul style="list-style-type: none"> - 11a: Internal Audit Review (R) - 11b: Draft Memorandum of Understanding (R) - 11c: Appendix A – current DfT / TfN Memorandum of Understanding (R) 	IC / LB
12.0	Exclusion of the Press and Public (V) <p>To resolve that the public be excluded from the meeting during consideration of Item[s] [14] on the grounds that:</p> <p>(1) It is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the public were present during such item(s), confidential information as defined in S100A(2) of the Local Government Act 1972 (as amended) would be disclosed to them in breach of the obligation of confidence; and/or</p> <p>(2) it / they involve(s) the likely disclosure of exempt information as set out in the Paragraphs [listed below] of Schedule 12A of the Local Government Act 1972 (as amended) and that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.</p>	Chair
13.0	Risk Review (R / P) <p>To highlight the significant risks associated with Transport for the North's ongoing transformational programmes. To update on the progress of IST Phase 3.</p>	HN
14.0	Any Other Business	All

R = Report; P = Presentation; V = Verbal